City National Rochdale®
AN RBC/CITY NATIONAL COMPANY

# Firm Overview

INTELLIGENTLY PERSONALIZED HIGH-NET-WORTH INVESTMENT MANAGEMENT SOLUTIONS

For over 30 years, City National Rochdale has specialized exclusively in providing intelligently personalized portfolio management for high-net-worth individuals, families, and institutions.

## CITY NATIONAL ROCHDALE AT A GLANCE

| | |
|---|---|
| $38+B*<br>assets under management<br>*As of October 31, 2018 | 30+ years<br>average professional experience of asset allocation committee members |
| 80+%<br>investment consultants and portfolio managers who are CFA charterholders | 90+%<br>historical client retention rate |

## KEY PRINCIPLES AND CLIENT BENEFITS

- Achievement of Financial Goals
- Active Risk Management
- Tax Management
- Private Portfolio Manager
- Research-Driven Portfolio Design

### OFFICE LOCATIONS

New York, NY
Beverly Hills, CA
Irvine, CA
La Jolla, CA[1]
San Francisco, CA
Lake Mary, FL
Chicago, IL
Houston, TX

### NATIONAL COVERAGE

32 Portfolio Managers
19 Investment Consultants

### INVESTMENT STRATEGIES

Equities
Core Fixed Income
Opportunistic Income
Real Assets
Alternative Investments†

[1]Investment advisory office location.
†Alternative investments are speculative, entail substantial risks, offer limited or no liquidity, and are not suitable for all investors.

## OUR PROCESS

Our personalized approach to investment management is designed for clients seeking portfolio strategies that address their unique investment needs, risk tolerances, market environment, and investment opportunities.

### Client Conversation

City National Rochdale actively listens to the client's investment objectives in order to develop an insightful "personal benchmark" that is unique to each individual.

### Personal Benchmark

A customized blueprint for each client's portfolio, supported by in-depth research and guided by a unique investment policy statement.

### Portfolio Management

Our highly educated and experienced portfolio managers customize each portfolio to the exact needs of every client.

## SPECIALIZED & CORE STRATEGIES

### Equities

- Domestic Equities
- International and Emerging Markets
- High Dividend Strategies

### Core Fixed Income

- Taxable Strategies
- Tax-Exempt Strategies
- Liquidity Management

### Opportunistic Income

- High-Yield Strategies
- Inflation Protection Strategies
- Hedged Strategies (for qualified investors)
- Structured Credit (for qualified investors)

### Alternative Investments and Real Assets†

- Non-correlated, non-traditional strategies

†Alternative investments are speculative, entail substantial risks, offer limited or no liquidity and are not suitable for all investors.

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**Important Disclosures**

The information presented does not involve the rendering of personalized investment, financial, legal, or tax advice.

As with any investment strategy, there is no guarantee that investment objectives will be met, and investors may lose money.